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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Graham P. Allaway, et al.

U.S. Serial No.: 09/460,216 Examiner: J. Parkin

Filed : December 13, 1999 Group Art Unit: 1648

For : METHODS FOR PREVENTING HIV-1 INFECTION OF  
CD4+ CELLS

1185 Avenue of the Americas  
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June 6, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

Orig. Copies

SIR:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with their duty of disclosure under 37 C.F.R. §1.56, applicants direct the Examiner's attention to the following references, which are listed on Form PTO-1449 attached hereto as **Exhibit A**. Copies of the references listed below as items 1-2 are attached hereto as **Exhibits B-C**, respectively, for the Examiner's review and consideration.

1. PCT International Publication No. WO97/28258 Published 7 August 1997;
2. PCT International Publication No. WO97/44462 Published 27 November 1997

In accordance with 37 C.F.R. §1.97(e)(1), the undersigned certifies that each item of information contained in this Information

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Disclosure Statement was first cited in a Communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement. In particular these items were first cited in a Search Report for corresponding European Patent Application No. 97917856.3, a copy of which Search Report is attached hereto as Exhibit D. The two remaining references cited in Exhibit D, i.e., the articles by F. Cocchi, et al. and Arenzana-Seisdedos F. et al. have already been made of record as References no. 12 and 7, respectively, in the Information Disclosure Statement filed January 23, 2001 in this application.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone at the number provided below.

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Pursuant to 37 C.F.R. §1.97(c)(1) no fee is deemed necessary in connection with the filing of this Supplemental Information Disclosure Statement. However, if any fee is due, authorization is hereby given to charge the required amount of any such fee to Deposit Account No. 03-3125..

Respectfully submitted,

*Mark A. Farley*

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

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